

FACTS**WHAT DOES Plan to Invest Capital Management DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Driver's license number
- Date of birth
- Account numbers
- Financial status
- Tax status

How?

All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Plan to Invest Capital Management chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Plan to Invest Capital Management share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), or respond to regulatory examinations or court orders.	Yes	No
For our marketing purposes— to offer our products and services to you	No	N/A
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	No	N/A
For our affiliates' everyday business purposes— information about your creditworthiness	No	N/A
For nonaffiliates to market to you	No	N/A

Questions?

Call 303-221-0949

Who we are	
Who is providing this notice?	Plan to Invest Capital Management
What we do	
How does Plan to Invest Capital Management protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Plan to Invest Capital Management collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> • Open an account • When we meet to update your investor profile • When changes in your financial situation cause changes in how we manage your assets.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes—information about your creditworthiness • Affiliates from using your information to market to you • Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	This does not apply, because we do not share your information for any purposes that limitations could apply (see item immediately above).
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <i>Plan to Invest Capital Management does not have any affiliates</i>
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <i>Plan to Invest Capital Management does not share with nonaffiliates</i>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <i>Plan to Invest Capital Management has no such agreements</i>